



Some Ethical Constraints on Near-Earth Resource Exploitation

James S.J. Schwartz (Wichita State University, USA)

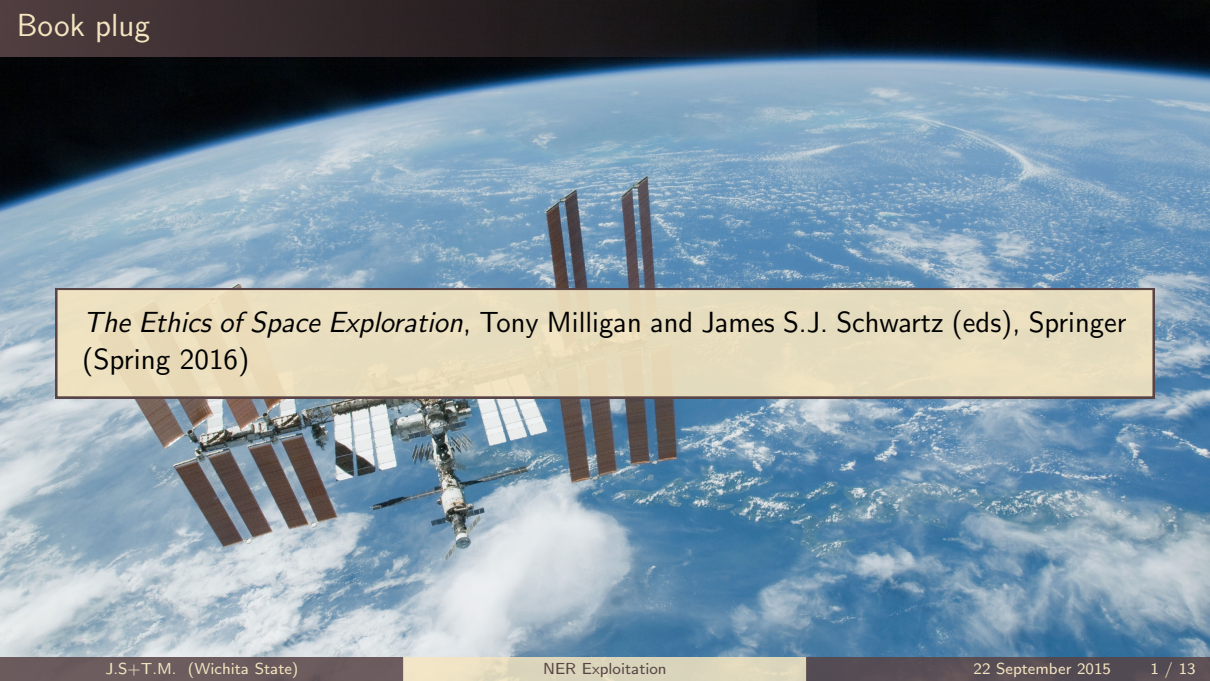
james.schwartz@wichita.edu

Tony Milligan (King's College London, UK)

anthony.milligan@kcl.ac.uk

9th ESPI Autumn Conference, ESPI, Vienna, Austria

22 September 2015

A photograph of the International Space Station (ISS) in orbit above Earth. The station's complex structure, including solar panels and various modules, is clearly visible against the bright blue and white clouds of the planet. The curvature of the Earth is visible at the top of the frame.

The Ethics of Space Exploration, Tony Milligan and James S.J. Schwartz (eds), Springer (Spring 2016)

Near-Earth Resources (NERs) (including: water; iron; platinum-group metals; peaks of eternal light; He₃; and orbital allocations) generate varying degrees of interest, but it is seldom recognized that these resources are, practically, very limited.



Near-Earth Resources (NERs) (including: water; iron; platinum-group metals; peaks of eternal light; He₃; and orbital allocations) generate varying degrees of interest, but it is seldom recognized that these resources are, practically, very limited.

Our aim is to discuss the ethical implications of NER scarcity for the regulation of NER exploitation.

Although, e.g., the ITU orbital allocation regulations already address issues of scarcity in some respects, from our ethical perspective they do not go far enough.



Although, e.g., the ITU orbital allocation regulations already address issues of scarcity in some respects, from our ethical perspective they do not go far enough.

At least *ideally*, we believe that regulation of NER exploitation must also involve:

1. Decision-making bodies with the authority and expertise to appraise the overall *societal value* of exploitation proposals, and to accept or reject proposals accordingly.
2. Independent scientific and environmental oversight.

Case 1: NEAs



Case 1: NEAs

Not just NEA can be profitably accessed—mining candidates must:

- ▶ be of the appropriate type (M-type for platinum-group metals; C-type for water);
- ▶ be neither too large nor too small in mass;
- ▶ have an orbit that permits low-energy capture in cislunar space; and
- ▶ have an orbit that aligns with Earth's so as to facilitate timely capture.

Case 1: NEAs

Not just NEA can be profitably accessed—mining candidates must:

- ▶ be of the appropriate type (M-type for platinum-group metals; C-type for water);
- ▶ be neither too large nor too small in mass;
- ▶ have an orbit that permits low-energy capture in cislunar space; and
- ▶ have an orbit that aligns with Earth's so as to facilitate timely capture.

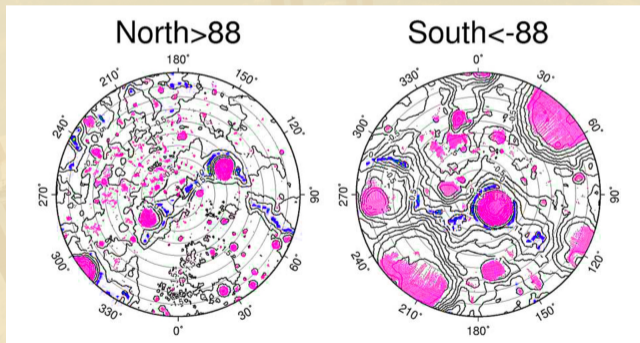
According to Martin Elvis' (2014) estimates of the probabilities, there are only on the order of 10 NEAs suitable for platinum-group metal extraction, and on the order of 9000 NEAs suitable for water extraction.

Case 2: Lunar polar real estate



Case 2: Lunar polar real estate

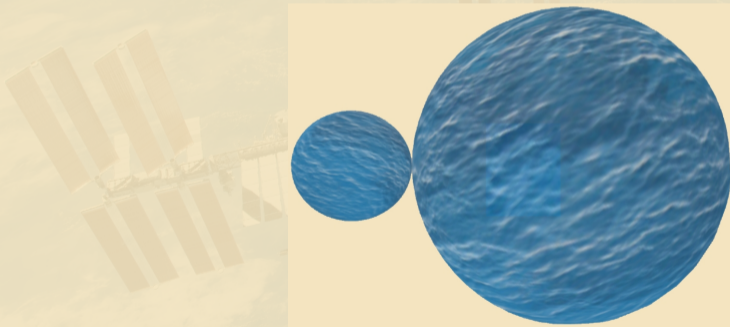
(A): Peaks of eternal light



Source: Noda, et. al (2008).

Case 2: Lunar polar real estate

(B): Water from permanently shadowed craters



Left: Lunar polar water (1.77 km diameter); Right: Lake Nicaragua. Source: Author.

Case 4: Orbital allocations



Case 4: Orbital allocations

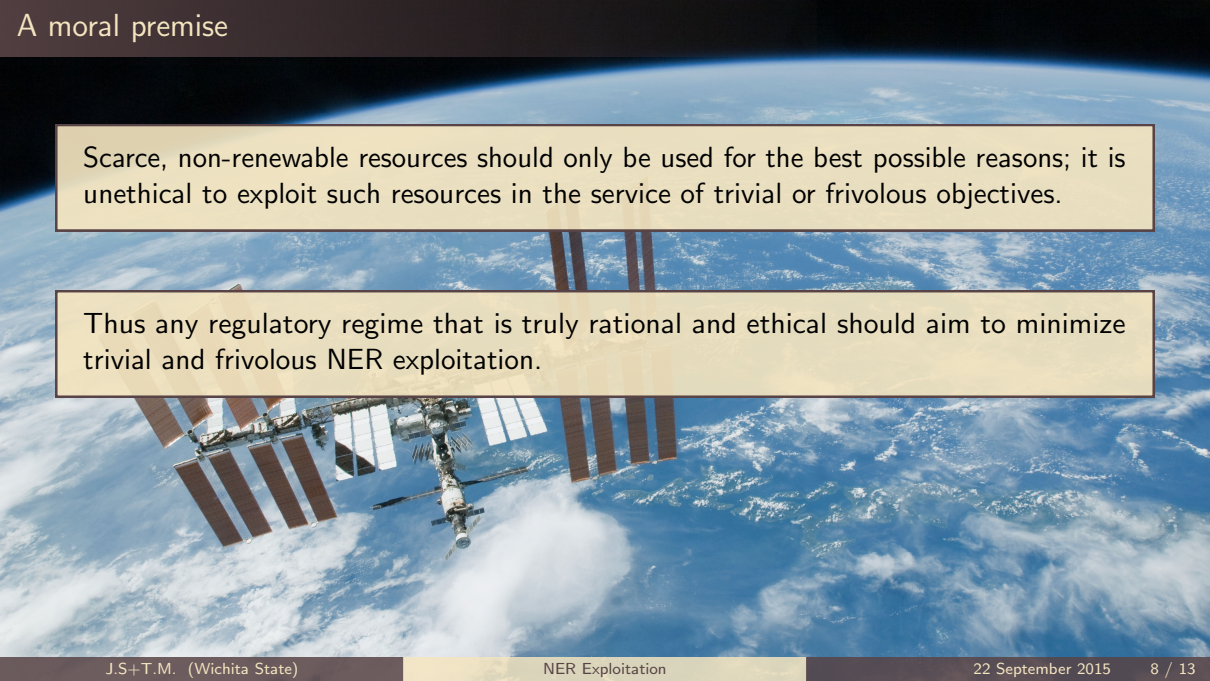
“... Member States shall bear in mind that radio frequencies and any associated orbits, including the geostationary-satellite orbit, are limited natural resources and that they must be used rationally, efficiently and economically, in conformity with the provisions of the Radio Regulations, so that countries or groups of countries may have equitable access to those orbits and frequencies, taking into account the special needs of the developing countries and the geographical situation of particular countries.” ITU Constitution, Article 44.

A moral premise

Scarce, non-renewable resources should only be used for the best possible reasons; it is unethical to exploit such resources in the service of trivial or frivolous objectives.



A moral premise



Scarce, non-renewable resources should only be used for the best possible reasons; it is unethical to exploit such resources in the service of trivial or frivolous objectives.

Thus any regulatory regime that is truly rational and ethical should aim to minimize trivial and frivolous NER exploitation.

A moral premise

Scarce, non-renewable resources should only be used for the best possible reasons; it is unethical to exploit such resources in the service of trivial or frivolous objectives.

Thus any regulatory regime that is truly rational and ethical should aim to minimize trivial and frivolous NER exploitation.

In practice, this means that it is not sufficient, ethically speaking, merely to ensure *equitable access* to NERs. *It also matters for what purposes consumers intend to use NERs!*

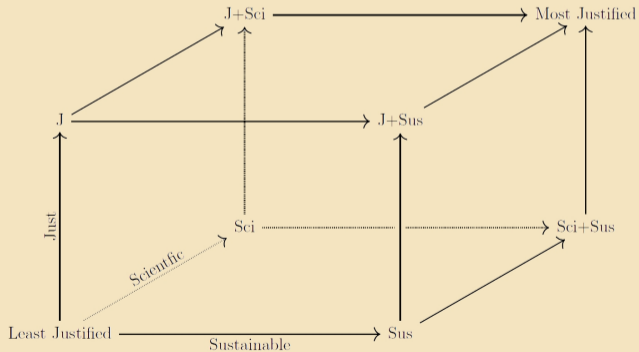
A moral premise

Our position is that (a) science objectives are preferable to non-science objectives; (b) sustainable objectives are preferable to unsustainable objectives; and (c) objectives which promote social and economic equality are preferable to those which do not.



A moral premise

Our position is that (a) science objectives are preferable to non-science objectives; (b) sustainable objectives are preferable to unsustainable objectives; and (c) objectives which promote social and economic equality are preferable to those which do not.



What about adopting something like the ITU orbital allocation regulations for NER exploitation?



What about adopting something like the ITU orbital allocation regulations for NER exploitation?

Though the ITU is laudably concerned with distributive justice, it lacks the ability to assess the overall societal value of applications.

What about adopting something like the ITU orbital allocation regulations for NER exploitation?

Though the ITU is laudably concerned with distributive justice, it lacks the ability to assess the overall societal value of applications.

Moreover, the ITU model for distributive justice is not at all sensible for *especially* scarce NERs.

What about adopting something like the ITU orbital allocation regulations for NER exploitation?

Though the ITU is laudably concerned with distributive justice, it lacks the ability to assess the overall societal value of applications.

Moreover, the ITU model for distributive justice is not at all sensible for *especially* scarce NERs.

By contrast, we think an ethically sound NER regime should have the authority and expertise to evaluate proposals at least partially on the basis of their anticipated societal value.

What about the ISA deep sea mining regulations?



What about the ISA deep sea mining regulations?

Under the ISA regulations, *contractors* are responsible for performing environmental and scientific impact assessments. We feel this is a conflict of interest.

What about the ISA deep sea mining regulations?

Under the ISA regulations, *contractors* are responsible for performing environmental and scientific impact assessments. We feel this is a conflict of interest.

By contrast, we think exploitation—both of deep sea minerals and of NERs—requires *independent* scientific and environmental oversight.

Although we know of no existing regime that appreciates sufficiently the ethical implications of the scarcity of NERs (including the increasingly scarce orbital allocations), the lacunae in existing regimes can help us to imagine what an ethically sound NER authority would look like.

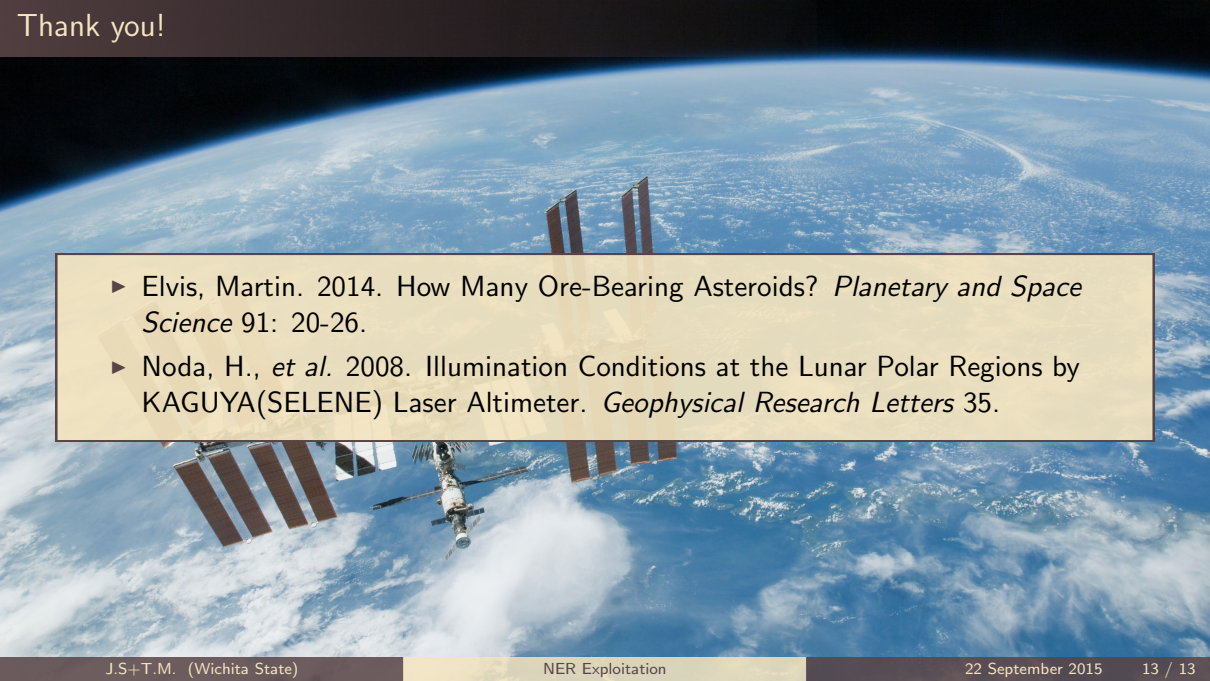


Although we know of no existing regime that appreciates sufficiently the ethical implications of the scarcity of NERs (including the increasingly scarce orbital allocations), the lacunae in existing regimes can help us to imagine what an ethically sound NER authority would look like.

Minimally, such a regime should be:

- ▶ Less tolerant of low-value proposals than the ITU.
- ▶ Less tolerant of risks to science and to the environment than the ISA.

Thank you!

- 
- A satellite with solar panels is shown in orbit above the Earth's cloud-covered surface. The satellite is positioned in the center of the frame, with its solar panels extending outwards. The Earth's surface is a mix of blue oceans and white clouds, with a dark horizon line at the top. The satellite's solar panels are a mix of brown and white. The satellite itself is a complex of white and black components.
- ▶ Elvis, Martin. 2014. How Many Ore-Bearing Asteroids? *Planetary and Space Science* 91: 20-26.
 - ▶ Noda, H., *et al.* 2008. Illumination Conditions at the Lunar Polar Regions by KAGUYA(SELENE) Laser Altimeter. *Geophysical Research Letters* 35.